

CHILD TRUST FUND INTO A JUNIOR CASH ISA TRANSFER AUTHORITY FORM

Transfer of existing Child Trust Fund Account to Monmouthshire Building Society

Please complete this form if you wish to transfer an existing Child Trust Fund (CTF) to a Monmouthshire Building Society Junior Cash ISA (JISA). This applies to both existing Child Trust Funds held with Monmouthshire Building Society and Child Trust Funds held with external providers. This transfer authority form must be completed by the existing Registered Contact of the child's CTF. This form does not give authority to make any change to the Registered Contact of the CTF.

1 Registered Contact's Details – please use BLOCK CAPITALS

The registered contact is a person, aged 16 or over, with parental responsibility (a legal term meaning someone with the rights and duties of a parent) for the child. The child can be the registered contact if aged 16 or over.

| | | | |
|--|--------------|--|--------------------------|
| Title: _____ | | First Name/Middle Name: _____ | |
| Surname: _____ | | | |
| Permanent Residential Address: _____ | | | |
| | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | Post Code: _____ | Nationality: _____ |
| Date of Birth: _____ | Gender: Male | Female | |
| Contact Tel No. _____ | | | |
| Email address _____ | | Are you an existing customer? Yes <input type="checkbox"/> No <input type="checkbox"/> | |
| Relationship to Child (if child is not the registered contact) _____ | | | |

2 Child's Details – please use BLOCK CAPITALS

I apply to transfer the Child Trust Fund into a JISA for:

| | | | |
|--|--|-------------------------------|---------------------------------|
| Title: _____ | | First Name/Middle Name: _____ | |
| Surname: _____ | | <input type="checkbox"/> | |
| Permanent Residential Address: - tick this box <input type="checkbox"/> if address is same as Registered Contact's Address | | | |
| | | Post Code: _____ | Date of Birth: _____ |
| | | Gender: Male | Female <input type="checkbox"/> |
| National Insurance Number (if they have one): | | Nationality: _____ | |

3 Existing Child Trust Fund Provider Details

| | |
|---|------------------------------------|
| Name of Existing CTF Provider: _____ | |
| Address of Existing CTF Provider: _____ | |
| Post Code: _____ | |
| Child's Unique Reference Number (URN): _____ | Existing CTF Account Number: _____ |
| Please note that your existing CTF must be transferred in full. You cannot hold both a CTF and a Junior ISA | |

4 Transfer Authority & Customer Declaration: please read, complete and sign

Important Information – it is essential that you read and understand the terms within this declaration before signing below.

I authorise my existing/the child's CTF provider (as specified above) to transfer the Child Trust Fund (account number above) to Monmouthshire Building Society. I authorise my existing/the child's CTF Provider Manager to provide Monmouthshire Building Society with any information about the account and to accept any instructions from them relating to the CTF being transferred. Where I must give notice to close and transfer the existing CTF or the existing CTF contains a fixed-term deposit that has not reached its maturity date, I instruct my existing CTF provider to either (please tick as appropriate):

1. Wait for the full notice period to end or wait until the maturity date (whichever is relevant) before going ahead with this transfer

or

2. Depending on the terms and conditions, carry out the transfer as soon as possible - I will accept any consequential loss of interest or charges which may be applied.

Please note if transferring an existing Stakeholder CTF, the following stakeholder features might not be included in a Junior ISA:

- Lifestyling from age 15
- Minimum subscriptions of £10 allowed
- Annual charge cap of 1.5%

If you are applying to transfer a stakeholder CTF, tick this box to confirm that you understand this and wish to proceed.

If you are transferring from a stocks and shares or stakeholder CTF to a cash JISA it is important that you understand the implications of this transfer. We are not able to give advice. If you are not sure whether a cash JISA is the best option for your/your child's savings we suggest you obtain independent advice.

If you are transferring from a Child Trust Fund that holds stocks and shares, these investments will have to be sold. It is possible that you could lose out if there is a rise in the market whilst the transfer is being completed and we do not accept liability for any such loss.

The existing CTF may require you to give notice in advance if you wish to withdraw funds or it may have a fixed term before which the funds can't be withdrawn without loss of interest. Charges may apply if you instruct us to immediately transfer funds in these accounts.

If the transfer from the CTF is not successful, any JISA that has been opened on a provisional basis to accept the transfer will be invalid, and any subscriptions to the provisional JISA will be returned. The CTF will remain intact.

As well as being subject to the terms and conditions of the JISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your CTF. For example:

- Some CTF products may place conditions on how such a transfer is undertaken.
- Your existing CTF provider may need you to give them specific information before the transfer can go ahead.

If you are not sure what conditions apply please check with your existing CTF provider before completing this form.

- I accept that the Society may decline my application.
- I consent and acknowledge that the Society will carry out an electronic check to verify my identity.
- I agree to the specific product terms and conditions applying to the JISA account, and the General Terms & Conditions for Savings Accounts, and to be bound by the Rules of the Society, a copy of which is available on request.

Continued overleaf >>>>

I DECLARE THAT:

- I am the Registered Contact for the CTF.
- I am 16 years of age and over.
- I am the child/I have parental responsibility for the child.
- I will be the registered contact for the Junior ISA.

I AUTHORISE MONMOUTHSHIRE BUILDING SOCIETY:

- To hold the child's subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and cash.
- To make on the child's behalf any claims to relief from tax in respect of JISA investments.

Use of your Personal Information

- We need to collect information about you in order to open and administer your savings account. The legal basis on which we process your data will be either that the processing is necessary for us to provide you with the financial product you are seeking; necessary to comply with our legal obligations; in our legitimate business interests in relation to such purposes or with your consent.
- If you make a joint application with your spouse, partner, family member or another party, we will also need to collect personal information about that person. If you make a joint application on behalf of the joint applicant, you agree to show them our Summary Privacy Notice and that you have all necessary consents to enable you to provide us with their information.
- The information we collect is used to verify your identity, administer your accounts, provide you with our services and to communicate with you about other products or services of ours that we think may be of interest to you.
- When using your information we may also share information with anyone you appoint to administer or operate your account; regulatory and government bodies; auditors; any individuals/organisations that we use to provide services to us; and any other person or organisation if the law, public duty or our legitimate interests require us to do so.
- We undertake checks about you with Fraud Prevention Agencies ('FPAs') for the purposes of preventing fraud and money laundering, and to verify your identity. If false or inaccurate information and fraud is suspected then we will record this and share the information with FPAs.
- In making your application you acknowledge that you have received and read the summary of our full Privacy Notice contained in our "Important Information About Your Personal Data" leaflet. Our full Privacy Notices are available from all our offices and on our website at www.monbs.com/privacy.

Keeping you Informed about other Products & Services

The Society would like to provide you with information on our products and services unless you opt out of receiving this information. Please note the Society will continue to provide you with regulatory and service communications even if you have opted out.

I do not wish to receive information on products and services by the following channels:

Mail Telephone Electronic means

Warning: Before you sign, please note that all funds transferred or paid into this account cannot be withdrawn until the child's 18th birthday.

Registered Contact:

Signature _____

Date _____

5 Transfer Acceptance (to be completed by Monmouthshire Building Society)

We are willing to accept this CTF to Junior Cash ISA transfer in line with the Registered Contact instructions above, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only.
- We must receive all the transfer proceeds no later than

For the purposes of the transfer of the CTF under the CTF/JISA regulation, the date shown below will be the transfer date.

Date: Signed:

Name and Position:

For and on behalf of: Monmouthshire Building Society, John Frost Square, Newport, NP20 1PX

Direct Line: _____

E-mail: _____

| For Office Use Only | Date Opened | Security Number | Branch/ Agent | ID checked by | Data Check | |
|---------------------|-------------|--------------------|---------------|--------------------|---------------|--------|
| A/C No. | | Applicant 1 Number | | Applicant 2 Number | | |
| CHEQUE DETAILS | | | | | | |
| Drawer's Name | Bank Name | Bank Address | Sort Code | Account Number | Cheque Number | Amount |
| | | | | | | |

Head Office: Monmouthshire House, John Frost Square, Newport, South Wales, NP20 1PX.

Telephone: 01633 844330 Fax: 01633 844445 www.monbs.com

Monmouthshire Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Financial Services Register Number: 206052.

